## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Kazushige OHNO, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/JP04/13705

GAU:

FILED:

Herewith

**EXAMINER:** 

FOR:

SINTERED CERAMIC COMPACT AND CERAMIC FILTER

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted.

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Registration No. 47,301

Customer Number

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Surinder Sachar

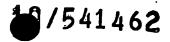
Registration No. 34,423

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO.		SERIAL TO 41 46 2 New U.S. PCT Application		
				P	273432US90PCT		Based on PCT/JP04/13705		
LIST OF REFERENCES CITED BY APPLICANT					APPLICANT				
				PLICANT	Kazushige OHNO, et al.				
					FILING DATE		GROUP		
				Herewith		<u> </u>		·	
					U.S. PATENT DOCUMENTS		<u> </u>		
EXAMIN INITIA			DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	-	FILING DATE APPROPRIATE
/L.	R./	AA	5,914,187	06/22/99	NARUSE et al.				
		AB	5,930,994	08/03/99	SHIMATO et al.				
		AC	6,447,564	09/10/02	OHNO et al.				
		AD	6,565,630	05/20/03	OHNO et al.				
		AE	2004/0055265	03/25/04	OHNO et al.				
		AF	6,669,751	12/30/03	OHNO et al.				
		AG	2004/0033175	02/19/04	OHNO et al.				
		AH	2004/0031264	02/19/04	KOJIMA				
		Al	2004/0161596	08/19/04	TAOKA et al.				
		AJ	6,770,116	08/03/04	КОЈІМА				
		AK	2004/0223892	11/11/04	KOJIMA				
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		AP	2005/0076626	04/14/05	KUDO et al.				
	7	AQ	2005/0115224	06/02/05	KOJIMA	1	· 1		
V		AR	2005/0102987	05/19/05	KUDO				
			_	FO	REIGN PATENT DOCUMENTS	<u></u>			,
			DOCUMENT	DATE	COUNTRY		TRANSLATION		
			NUMBER DATE COOKING			YES		NO	
/ <u>L</u>	R.	AS	4-100505	04/02/92	JP(with English abstract)				NO
		AT	2002-274947	09/25/02	JP(with English abstract)				NO
		AU	63-95159	04/26/88	JP(with English abstract)				NO
	_	AV	7-196370	08/01/95	JP(with English abstract)				NO
		AW	60-264365	12/27/85	JP(with English abstract)				NO
		AX	4-187578	07/06/92	JP(with English abstract)				NO
$\overline{}$	1	AY	5-139861	06/08/93	JP(with English abstract)				NO
	<u></u>	AZ	6-182228	07/05/94	JP(with English abstract)				NO
						Addi	tional Refer	ences	sheet(s) attached
Examiner /Lauren Robinson/			/Lauren Robins		Date Considered 08/07/2007				
*Examine conformations	er: Ini ance :	itial if re and no	aference is considered, it considered. Include or	whether or not opy of this form	t citation is in conformance with MPEP 60 in with next communication to applicant.	)9; Draw lir	ne through o	citation	if not in

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Form PTO 1449		U.S. DEPARTMENT	OF COMMERCE	ATTY DOCKET NO.		SERIAL NO.	8
(Modified)	PATENT AND		DEMARK OFFICE	273432US90PCT	10	New U.S. PCT Based on PCT	Application /3000/13705
				APPLICANT			
LIST OF	REFE	RENCES CITED BY AP	PLICANT	Kazushige OHNO, et al.			
				FILING DATE GROUP			
				Herewith			
			FO	REIGN PATENT DOCUMENTS			
	DOCUMENT DATE		COUNTRY		TRANSLATION		
		NUMBER		000,411,41		YES	NO
/L.R	AAA	9-202671	08/05/97	JP(with English abstract)			NO
	AAB	2000-16872	01/18/00	JP(with English abstract)			NO
	AAC	2001-97776	04/10/01	JP(with English abstract)			NO
	AAD	2002-201082	07/16/02	JP(with English abstract)			NO
	AAE	2005/044425	05/19/05	WO(with English abstract)			NO
	AAF	2005-037406	04/28/05	WO(with English abstract)	,		NO '
	AAG	2005/037405	04/28/05	WO(with English abstract)			NO
	AAH	2005/044422	05/19/05	WO(with English abstract)			NO
<b>V</b>	AAI	20052005/047210	05/26/05	WO(with English abstract)			NO
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)							
	AJ.						
	AAK						
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	AAL						
	ААМ			,	Addi	tional References s	heet(s) attached
Examiner /Lauren Robinson/			·	Date Considered 08/07/2007			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



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New U.S. PCT Application Based on PCT/JP04/13705 Docket No.: 273432US90PCT

## STATEMENT OF RELEVANCY

1) References <u>AS-AV</u> have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
2) Reference have been cited in the corresponding Search Report. A copy of this reference is being submitted herewith.
3) References <u>AW-AAD</u> is discussed in the specification. A copy of these references is being submitted here with.
4) References <u>AAE-AAI</u> is additional prior art known to Applicant. A copy of these references is being submitted herewith.



## **LIST OF RELATED CASES**

Docket Number	Serial or Patent Number	Filing or <u>Issue Date</u>	Patent Appl.  Publication No.	Inventor/ Applicant
PER CLIENT	5,914,187	06/22/99		NARUSE et al.
PER CLIENT	5,930,994	08/03/99		SHIMATO et al.
PER CLIENT	6,447,564	09/10/02		OHNO et al.
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